

## *Invest Well. Manage Well. Live Well.™*

### { Convergent at a Glance }

- Founded in 1994
- Provides investment consulting services to ultra-high net worth and high net worth individuals, family offices, and institutions nationwide
- Assets under Advisement: \$13+ billion (as of March 31, 2010)
- Offices in Washington, DC (headquarters), Los Angeles, New York, Portland (OR), Rochester (NY), and Seattle
- Majority owned subsidiary of City National Corporation (NYSE: CYN), the parent company of City National Bank
- Senior management has a significant ownership position in the Company.

### { Core Capabilities }

- Investment consulting services
- Asset allocation recommendations
- Endowment and foundation expertise
- Estate planning
- Consolidated performance reporting
- Structural techniques and tax advice
- Alternative investments
- Specialty financing

### { Why Convergent? }

- Proactive, objective, and customized advice
- Recognized thought-leaders
- Robust in-house research team
- Institutional strength with boutique flexibility
- Focus on accountability and transparency
- Pioneer in open architecture
- Exclusive access to unique managers

### { Recent Accolades }

#### 2010:

- Recognized by *Financial Advisor Magazine's* as the 3rd largest Registered Investment Advisor in the United States.
- Lori Van Dusen nominated as 2010 finalist for Nonprofit Consultant of the Year by *Foundation & Endowment*.
- Lori Van Dusen ranked No. 12 in the nation on *Barron's* 2010 Top 100 Women Advisors list.
- Steve Lockshin ranked No. 5 and Dave Zier ranked No. 8 in the nation on *Barron's* 2010 Top 100 Financial Advisors list.
- Steve Lockshin ranked No. 4 in the state of California on *Barron's* 2010 Top 1,000 Advisors list. George Dunn ranked No. 9 in Maryland, and Lori Van Dusen ranked No. 46 in New York.

#### 2009:

- Steve Lockshin ranked No. 2 on *Barron's* Top 100 Independent Financial Advisors list. George Dunn (No. 24) and Lori Van Dusen (No. 63) also named in the Top 100.
- Steve Lockshin ranked No. 19 on *Barron's* list of Top Financial Advisors in the United States.
- Ranked as No. 15 by *Wealth Manager* in its annual survey of the country's Top Wealth Managers.
- Ranked No. 9 in *Registered Rep's* Top 100 Registered Investment Advisors.