

**{Barron's Top 1,000 Financial Advisors in the United States}**

- Assets under management
- Revenue produced for the firm
- Regulatory record
- Quality of practice
- Philanthropic work

**{Barron's Top 100 Financial Advisors in the United States}**

- Volume of assets overseen by the advisors and their teams
- Revenues generated for the firms
- Quality of the advisors' practices

**{Wealth Manager's Top Wealth Managers/Top Dogs}**

- Average AUM per client
- Six years of ADV-reported assets
- B/Ds or banks ineligible
- Must be registered SEC-registered RIAs

**{Registered Rep's Top 100 Registered Investment Advisors}**

- Ranked by assets under management
- Greater than 50% of firm assets must be from the retail marketplace
- Must provide financial planning services, portfolio management for individuals or conduct due diligence on third party advisors
- Must not be doing business as a broker/dealer, bank or insurance company or be a subsidiary of a large financial institution
- RIAs must not invest in proprietary products

**{Financial Advisor Magazine's Independent RIA Rating}**

- Ranked by total assets
- Must manage \$50 million or more
- Must offer financial planning services predominately to individual clients